M&G wealth

M&G Wealth Platform

Individual Self-Certification

Tax Regulations1 require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your Account with HMRC and this may be shared with the tax authorities of another jurisdiction. UK tax residents should provide their National Insurance Number as their Tax Identification Number.

If you have any questions about your tax residency, please contact your tax adviser. Should any information provided change, please notify us immediately.

To reduce paper usage, when possible please complete and sign this form digitally.

If you have any questions please contact your Financial Adviser or call our Client Services Team on 0345 076 6140. Our lines are open 8:30am to 5:30pm Monday to Friday.

The completed form and any additional documentation should be sent to either:

platformsupport@mandg.com

or

New Business, M&G Wealth, Trimbridge House, Trim Street, Bath BA1 1HB

Section 1 Customer details

Please complete all details within this section.

Existing client number (if applicable)

Name

Permanent residential address

Postcode

Date of Birth D D / M M / Y Y Y Y

Telephone number

Section 2 Tax Residency Information

Tax Regulations[1] require us to collect information about each investor's tax residency. Please indicate all countries in which you are a resident for tax purposes and the associated Tax Reference Number in the table below.

If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

Country/Countries of tax residency	Tax Reference Number

If you are not resident in any country for tax purposes, please mark **×** in this box and provide details on a separate sheet. X

1. The term "tax regulations" refers to regulations created to enable automatic exchange of information and includes FATCA[2], various Agreements to Improve Tax Compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information.
2. The term FATCA refers to The Foreign Account Tax Compliance provisions contained in the US Hire Act 2010.

Section 3 Declaration

Data Protection

As described in our Terms, the personal data that you provide to Investment Funds Direct Limited under this form will be used by us in compliance with our obligations under the EU and/or UK General Data Protection Regulations, including, where applicable, any other relevant privacy laws (together, "Applicable Data Protection Law").

Our Client Privacy Policy explains how personal data will be collected, used and stored by us and will set out further information required to be provided under Applicable Data Protection Law to the individual to whom the personal data relates. Please see our Client Privacy Policy (which we will update from time to time) for more information. This is available from your Financial Adviser or at ifdl.info/privacypolicy. If you provide us with information about other investors, you confirm that you will pass on a copy of our Client Privacy Policy to them so that they are aware of how their personal data will be collected, used and stored by us.

Please read this section carefully before completing it.

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree to notify M&G Wealth immediately in the event the information in the self certification changes.

Name

Permanent address (if different to that stated above)

Position in organisation (if signing as a controlling person)

Signature

Date

D D / M M / Y Y Y Y

On completion of this form, please return it to New Business, M&G Wealth, Trimbridge House, Trim Street, Bath BA1 1HB United Kingdom.