

# M&G Wealth Platform

# Network Application

**Use this form to sign your Network up to the M&G Wealth Platform.**

To reduce paper usage, when possible please complete and sign this form digitally.

Should you have any questions, please email us at [platforminception@mandg.com](mailto:platforminception@mandg.com) or call our Service Desk on 0345 076 6140. Our lines are open 8:30am to 5:30pm Monday to Friday.

The completed form and any additional documentation should be sent to:

[platforminception@mandg.com](mailto:platforminception@mandg.com)

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## Information

In order to process your Application without delay, please follow the checklist below and complete all sections of the form.

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### Network Principal Firm Application

	Section Heading	What you need to provide	Where your signature is required	Completed
Section 1	Network Details	Your firm details including registered company no., FCA firm reference no., Legal Entity Identifier (if applicable), address and bank account information	N/A	<input type="checkbox"/>
Section 2	Network Authorised Signatory	N/A	Page 4	<input type="checkbox"/>

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### Appointed Representatives and Individual User Applications

Once your Network's Principal Firm has been set up, we will require a completed [Appointed Representative Application](#) to set up your Appointed Representative(s) and Individual Users requiring Online Access.

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### Terms of Business

Please ensure you have read and fully accept the terms set out in our [Terms of Business](#) document.

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### Data Protection

As described in our Terms of Business, the personal data that you provide to Investment Funds Direct Limited under this form will be used by us in compliance with our obligations under the EU and/or UK General Data Protection Regulation including, where applicable, any other relevant privacy laws (together, "Applicable Data Protection Law").

Our Adviser Privacy Policy explains how personal data will be collected, used and stored by us and will set out further information required to be provided under Applicable Data Protection Law to the individual to whom the Personal Data relates. Please see our [Adviser Privacy Policy](#) (which we will update from time to time) for more information. If you provide us with information about Clients, you confirm that you will pass on a copy of our [Client Privacy Policy](#) to them so that they are they are aware of how their Personal Data will be collected, used and stored by us.

## Section 1 Network Details

### Section 1.1 Registered Details

Network Principal Firm Name

Registered Company Number

FCA Firm Reference Number

Address

Postcode

Legal Entity Identifier (LEI) – Required if your firm has discretionary permissions.

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Failure to provide us with a LEI will mean you will be unable to trade in Exchange Traded Instruments on our platform.

### Section 1.2 Contact Details

Title

Surname

Forename(s)

Email\*

\*Please provide an email address so that we can send you service related emails as outlined in our Terms of Business.

Office phone number

### Section 1.3 Bank Account Details

Please provide us with details of the Bank/Building Society account into which all payments should be made.

Where possible we will run our own verification checks, but we may require additional documents to verify your bank details. To help avoid delays, please enclose one of the following documents:

- certified copy of a recent bank statement (last 3 months)
- void cheque or paying-in slip

Account type

Bank account

☐

Building society

☐

Name on account

Bank/Building Society account number

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Branch sort code

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Section 2

Network Authorised Signatory

I/we have reviewed and accept the terms set out in the separate [Terms of Business](#) (please mark **x** to confirm)

x

Signed for and on behalf of the Network.

This form must be signed by an FCA Approved Person who has SMF1-4 or SMF16 functions and the authority to commit to contracts on behalf of the Network.

Name

FCA Register or Directory number

Position

Signature

Date

D

D

/

M

M

/

Y

Y

Y

Y

The M&G Wealth Platform is provided by Investment Funds Direct Limited, registered in England and Wales No. 1610781. Registered office: 10 Fenchurch Avenue, London EC3M 5AG. Authorised and regulated by the Financial Conduct Authority No. 114432. Investment Funds Direct Limited is a subsidiary of M&G plc, incorporated and registered in England and Wales. Registered office: 10 Fenchurch Avenue, London EC3M 5AG. Registered number 11444019. M&G plc is a holding company, some of whose subsidiaries are authorised and regulated, as applicable, by the Prudential Regulation Authority and the Financial Conduct Authority.